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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

*Attorney Docket No.* 3620-P02781US0*First Inventor* INOUE, Akiyoshi*Title* Loan Intermediary Processing System and Method Thereof*Express Mail Label No.* EL680721062US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2.  Applicant claims small entity status.  
See 37 CFR 1.27.3.  Specification [Total Pages 38]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 10 ]5.  Oath or Declaration [ Total Pages 2 ]a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)i.  **DELETION OF INVENTOR(S)**Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).6.  Application Data Sheet. See 37 CFR 1.76**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202317.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i.  CD-ROM or CD-R (2 copies); orii.  paperc.  Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9.  Assignment Papers (cover sheet & document(s))10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney11.  English Translation Document (if applicable)12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations13.  Preliminary Amendment14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: /

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000110 (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
Name	Roger W. Herrell, Esq.		
Address	Dann, Dorfman, Herrell & Skillman, P.C. 1601 Market Street, Suite 720		
City	Philadelphia	State	PA
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Fax	215 563.4044		

Name (Print/Type)	Roger W. Herrell	Registration No. (Attorney/Agent)	22,964
Signature	<i>Roger W. Herrell</i>		Date 01/09/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

## Complete if Known

Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	INOUE, Akiyoshi
Examiner Name	
Group Art Unit	
Attorney Docket No.	3620-P02781US0

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	04-1406
Deposit Account Name	Dann, Dorfman, Herrell & Skillman, P.C.

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee
106	000	206	000	Design filing fee
107	000	207	000	Plant filing fee
108	000	208	000	Reissue filing fee
114	000	214	00	Provisional filing fee
SUBTOTAL (1) (\$)		355		

## 2. EXTRA CLAIM FEES

Total Claims	16	-20** =	0	X	Fee from below	Fee Paid
Independent Claims	2	-3** =	0	X		0
Multiple Dependent						0

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9
			Claims in excess of 20
102	00	202	00
			Independent claims in excess of 3
104	000	204	000
			Multiple dependent claim, if not paid
109	00	209	00
			** Reissue independent claims over original patent
110	18	210	9
			** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		0	

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	000	216	000 Extension for reply within second month
117	000	217	000 Extension for reply within third month
118	00000	218	000 Extension for reply within fourth month
128	00000	228	000 Extension for reply within fifth month
119	000	219	000 Notice of Appeal
120	000	220	000 Filing a brief in support of an appeal
121	000	221	000 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	00000	241	000 Petition to revive - unintentional
142	00000	242	000 Utility issue fee (or reissue)
143	000	243	000 Design issue fee
144	000	244	000 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	000	246	000 Filing a submission after final rejection (37 CFR § 1.129(a))
149	000	249	000 For each additional invention to be examined (37 CFR § 1.129(b))
179	000	279	000 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Name (Print/Type)	Roger W. Herrell, Esq.	Registration No. (Attorney/Agent)	22,964	Complete (if applicable)
Signature		Telephone	215.563.4100	
		Date	01/09/2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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